Sp/1636\$

#### **PATENT**

CERTIFICATE OF MAILING PURSUANT TO 37 CFR § 1.1
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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# 1

In Re Application of:

Choo et al.

For:

**GENE SWITCHES** 

Serial No.: 09/995,973

Filed: November 28, 2001

Atty. Docket No.: 8325-2007

Examiner: Daniel M. Sullivan

Group Art Unit: 1636

Confirmation No.: 9219

Customer No.: 20855

**INFORMATION** 

**DISCLOSURE STATEMENT** 

Commissioner for Patents Washington, D.C. 20231

Sir:

Applicants wish to bring to the attention of the Patent Office the references listed on the attached PTO-1449 form and request that they be considered by the Examiner. Copies of the references cited on the attached and the International Search Report are enclosed herewith. The information listed on the attached PTO-1449 form may be material to the examination of the above-identified application. The Examiner is respectfully requested to make this information of official record in the application.

This Information Disclosure Statement under 37 CFR §1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

This information disclosure statement is being filed under 37 C.F.R. §1.97(c)(2), therefore, enclosed is the fee due under 37 C.F.R. §1.17(p) in the amount of \$180. The Commissioner is authorized to charge any additional fees which may be required under 37 C.F.R. §1.16, §1.17, and §1.21, to Deposit Account No. 18-1648, referencing Atty. Docket No. 8325-2007.

Respectfully submitted,

Dahna S. Pasternak

Registration No. 41,41

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# FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet 1 of 2

In the Application of Yen CHOO

Confirmation No. 9219

Serial No.: 09/995,973

Art Unit: 1645

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Filed: November 28, 2001

Examiner: unassigned

UEL 1 9 2002

Title: GENE SWITCHES

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#### U.S. PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
	A-1					_01833	Date

#### FOREIGN PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Trans YES	lation NO
	B-1	WO 94/29442	December 22, 1994	PCT				
	B-2	WO 96/06166	February 29, 1996	РСТ				
	B-3	WO 98/53057	November 26, 1998	РСТ				

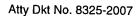
### OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Exam. Init.	Ref. Desig.	Description
	C-1	Dickinson et al., "Inhibition of RNA Polymerase II Transcription in Human Cells by Synthetic DNA-Binding Ligands," <i>PNAS</i> 95(22):12890-12895 (1998)
	C-2	Dickinson et al., "Inhibition of Ets-1 DNA Binding and Ternary complex Formation Between Ets-1, NF-KappaB, and DNA by a Designed DNA-Binding Ligand," <i>Journal of Biological Chemistry</i> 274(8):12765-12773 (1999)

Examiner:

Date Considered:

EXAMINER: Initial if citation considered whether or not the citation conforms with MPEP609. Draw a line through the citation if not in conformance and not considered. Include copy of this form with next communication to applicant.





## FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

Sheet 2 of 2

In the Application of Yen CHOO

Confirmation No. 9219

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Serial No.: 09/995,973

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UEC 1 9 2002

Filed: November 28, 2001

Examiner: unassigned

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Title: GENE SWITCHES

Exam. Init.	Ref. Desig.	Description
	C-3	Gatz et al., "Stringent Repression and Homogenous De-Repression by Tetracycline of a Modified CaMV 355 Promoter in Intact Transgenic Tobacco Plants," <i>Plant Journal</i> 3(2):397-404 (1992)
	C-4	Gottesfed et al, "Regulation of Gene Expression by Small Molecules," <i>Nature</i> 387(6629):202-205 (1997)
	C-5	Weinmann et al., "Chimeric Transactivator Allows Tetracycline-Responsive Gene Expression in Whole Plants," <i>Plant Journal</i> <u>5</u> (4):559-569 (1994)

Examiner:

Date Considered: